

MANAGEMENT SYSTEM CERTIFICATE

Certificate No:
137651-2013-AQ-MCI-RvA

Initial certification date:
21, June, 2013
Expiry date of last certification cycle:
21, June, 2019
Date of last recertification:
04, June, 2019

Valid:
07, August, 2019 - 21, June, 2022

This is to certify that the management system of

Monex Grupo Financiero, S.A. de C.V.

Av. Paseo de la Reforma No. 284, Col. Juárez, C.P. 06600, Cuauhtémoc, Ciudad de México, Mexico

has been found to conform to the Quality Management System standard:

ISO 9001:2015

This certificate is valid for the following scope:

Antimoney laundering, antiterrorism financing and integrated risk management processes for all financial products and services.

Prevención, detección y reporte de operaciones con recursos de procedencia ilícita y administración integral de riesgos en todos los productos financieros nacionales e internacionales del grupo.

Place and date:
São Paulo, 07, August, 2019



The RvA is a signatory to the IAF MLA

For the issuing office:
DNV GL – Business Assurance
Av Alfredo Egydio de Souza Aranha, 100 -
Bloco D- 3º andar - Vila Cruzeiro,
04726-170, São Paulo, SP, Brazil

Mauricio Venturin
Management Representative