

# MANAGEMENT SYSTEM CERTIFICATE

Certificate No:  
137652-2013-AQ-MCI-UKAS

Initial certification date:  
21, June, 2013  
Expiry date of last certification cycle:  
21, June, 2019  
Date of last recertification:  
04, June, 2019

Valid:  
07, August, 2019 - 21, June, 2022

This is to certify that the management system of

## **Monex Grupo Financiero, S.A. de C.V.**

Av. Paseo de la Reforma No. 284, Col. Juárez, C.P. 06600, Cuauhtémoc, Ciudad de México, Mexico

has been found to conform to the Quality Management System standard:

**ISO 9001:2015**

This certificate is valid for the following scope:

**Antimoney laundering, antiterrorism financing and integrated risk management processes for all financial products and services.**

**Prevención, detección y reporte de operaciones con recursos de procedencia ilícita y administración integral de riesgos en todos los productos financieros nacionales e internacionales del grupo.**

Place and date:  
**São Paulo, 07, August, 2019**



For the issuing office:  
**DNV GL – Business Assurance  
Av Alfredo Egydio de Souza Aranha, 100 -  
Bloco D- 3º andar - Vila Cruzeiro,  
04726-170, São Paulo, SP, Brazil**

**Mauricio Venturin**  
Management Representative

